

SME Instrument evalvacija

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Parts and Topics	2014 Budget EUR million ¹
5. <i>Leadership in enabling and industrial technologies</i>	
5i. <i>Information and Communication Technologies</i>	
ICT-37-2014 Open Disruptive Innovation Scheme	45.00
5ii. <i>Nanotechnologies, Advanced Materials, Biotechnology and Advanced Manufacturing and Processing</i>	
NMP-25-2014 Accelerating the uptake of nanotechnologies advanced materials or advanced manufacturing and processing technologies by SMEs	21.80
BIOTEC -5-2014 SME boosting biotechnology-based industrial processes driving competitiveness and sustainability	3.80
5iii. <i>Space</i>	
SME-SPACE-1-2014 SME instrument	8.50
8. <i>Health, demographic change and wellbeing</i>	
PHC-12-2014² Clinical research for the validation of biomarkers and/or diagnostic medical devices	66.10
9. <i>Food security, sustainable agriculture and forestry, marine and maritime and inland water research and the bioeconomy</i>	
SFS-8-2014 Resource-efficient eco-innovative food production and processing	10.00

BG-12-2014 Supporting SMEs efforts for the development - deployment and market replication of innovative solutions for blue growth	4.00
<i>10. Energy Challenge</i>	
SIE-1-2014 Stimulating the innovation potential of SMEs for a low carbon and efficient energy system	33.95
<i>11. Smart, green and integrated transport</i>	
IT-1-2014 Small business innovation research for Transport	35.87
<i>12. Climate action, environment, resource efficiency and raw materials</i>	
SC5-20-2014 Boosting the potential of small businesses for eco-innovation and a sustainable supply of raw materials	17.00
<i>14. Secure societies – Protecting freedom and security of Europe and its citizens</i>	
DRS-17-2014 Protection of urban soft targets and urban critical infrastructures	7.00

The total indicative budget for the SME Instrument in 2014 is EUR 253.02 million. From this amount 10% are foreseen for Phase 1 projects and indicatively distributed evenly between the annual cut-off dates. This leads to an indicative amount of EUR 8.43 million for this cut-off. There will be two more Phase 1 cut-off dates, on 24 September and 17 December 2014.

The distribution of projects per topic and the corresponding budgets

• . Budget in EUR	Projects to be funded
• BG-12	150,000 3
• Biotech	150,000 3
• IT-1	1,300,000 26
• NMP	850,000 17
• SC-5	600,000 12
• SFS-8	350,000 7
• SIE-1	1,150,000 23
• SPACE	200,000 4
• DRS-17	300,000 6
• PHC-12	1,200,000 24
• ODI	1,500,000 30
• TOTAL	<i>7,750,000 155</i>

Rezultati

- **Projects submitted** **Projects funded**
2662 **155**

4. SELECTION RATIONALE AND EVALUATION OUTCOME

Overall, out of a total of 2602 proposals, 317 (12%) passed all thresholds set out in the call. The table below provides an overview of proposals evaluated and the evaluation outcome including success rates by topic.

Topic Code	Proposals submitted to evaluators	Below thresholds proposals		Above thresholds proposals	
		Count	Percentage	Count	Percentage
Biotec-5	74	66	89%	8	10%
BG-12	48	42	87.5%	6	12.5%
IT-1	227	201	88.5%	26	11.5%
NMP	310	281	91%	29	9%
SC-5	248	216	87%	32	13%
SFS-8	125	96	77%	29	23%
SIE-1	374	328	88%	46	12%
Space	61	57	93%	4	7%
PHC-12	208	184	88%	24	12%
DRS-17	41	35	85%	6	15%
ODI	886	779	88%	107	12%
TOTAL	2602	2285	88%	317	12%

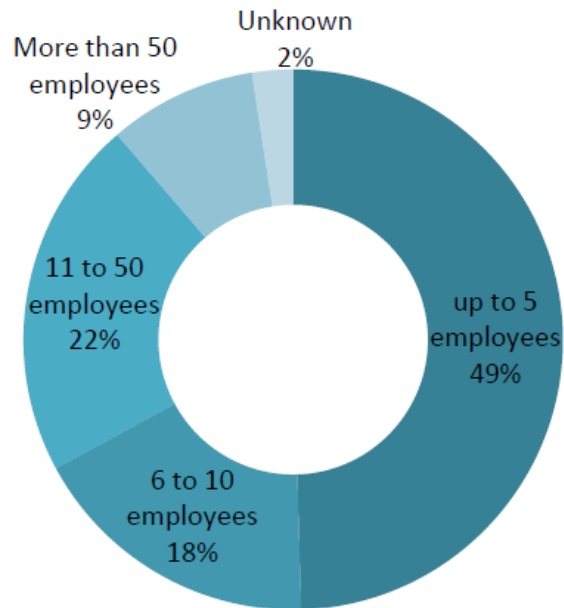
The distribution of projects per topic and the corresponding budgets are listed below.

	Budget in EUR	Projects to be funded
BG-12	150,000	3
Biotech	150,000	3
IT-1	1,300,000	26
NMP	850,000	17
SC-5	600,000	12
SFS-8	350,000	7
SIE-1	1,150,000	23
SPACE	200,000	4
DRS-17	300,000	6
PHC-12	1,200,000	24
ODI	1,500,000	30
<i>TOTAL</i>	<i>7,750,000</i>	<i>155</i>

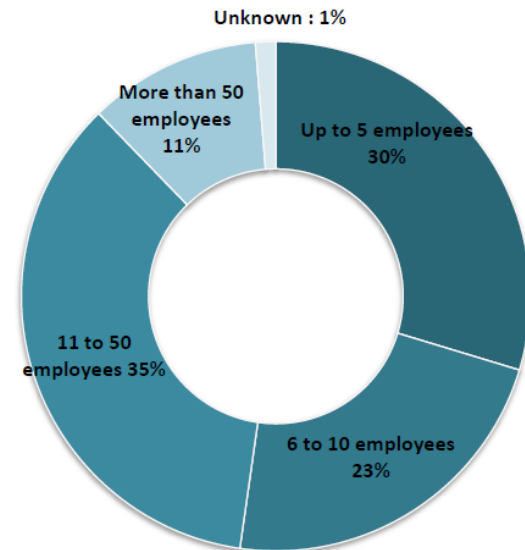
5.3. Company statistics

The following graphs provide additional statistics on the SMEs that have applied and those that will be receiving funding.

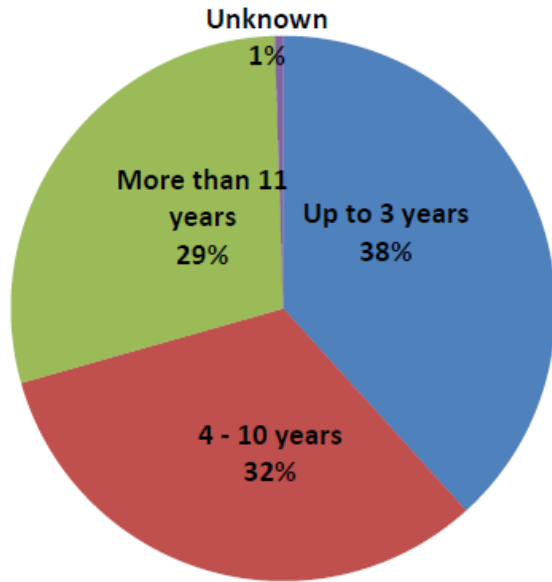
Staff Headcounts in applying SMEs



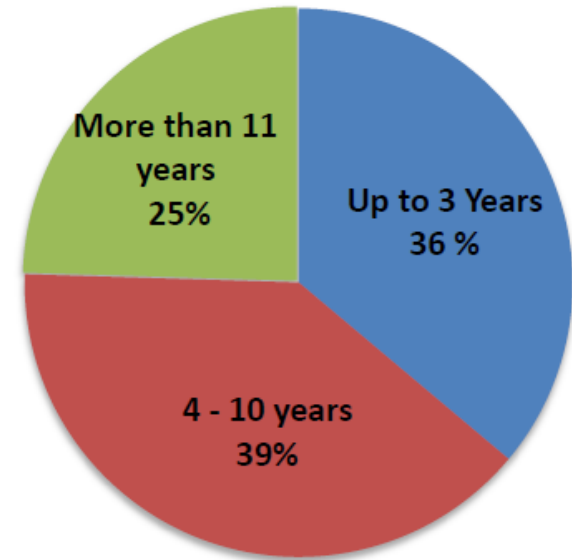
Staff Headcounts in funded SMEs



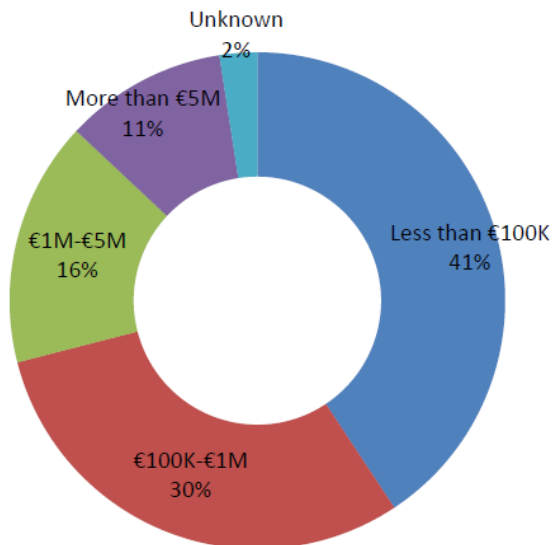
Years Trading of applicant SMEs



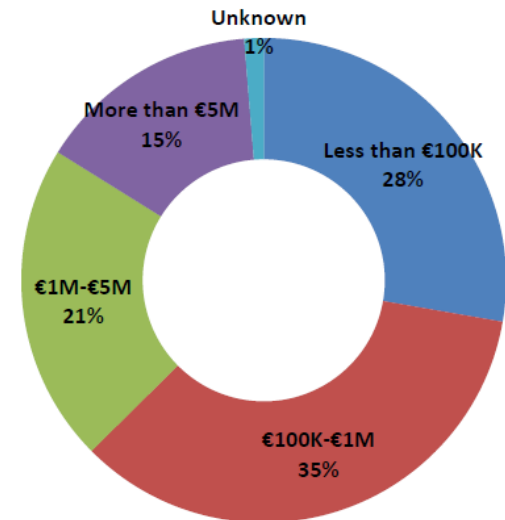
Years Trading of funded SMEs



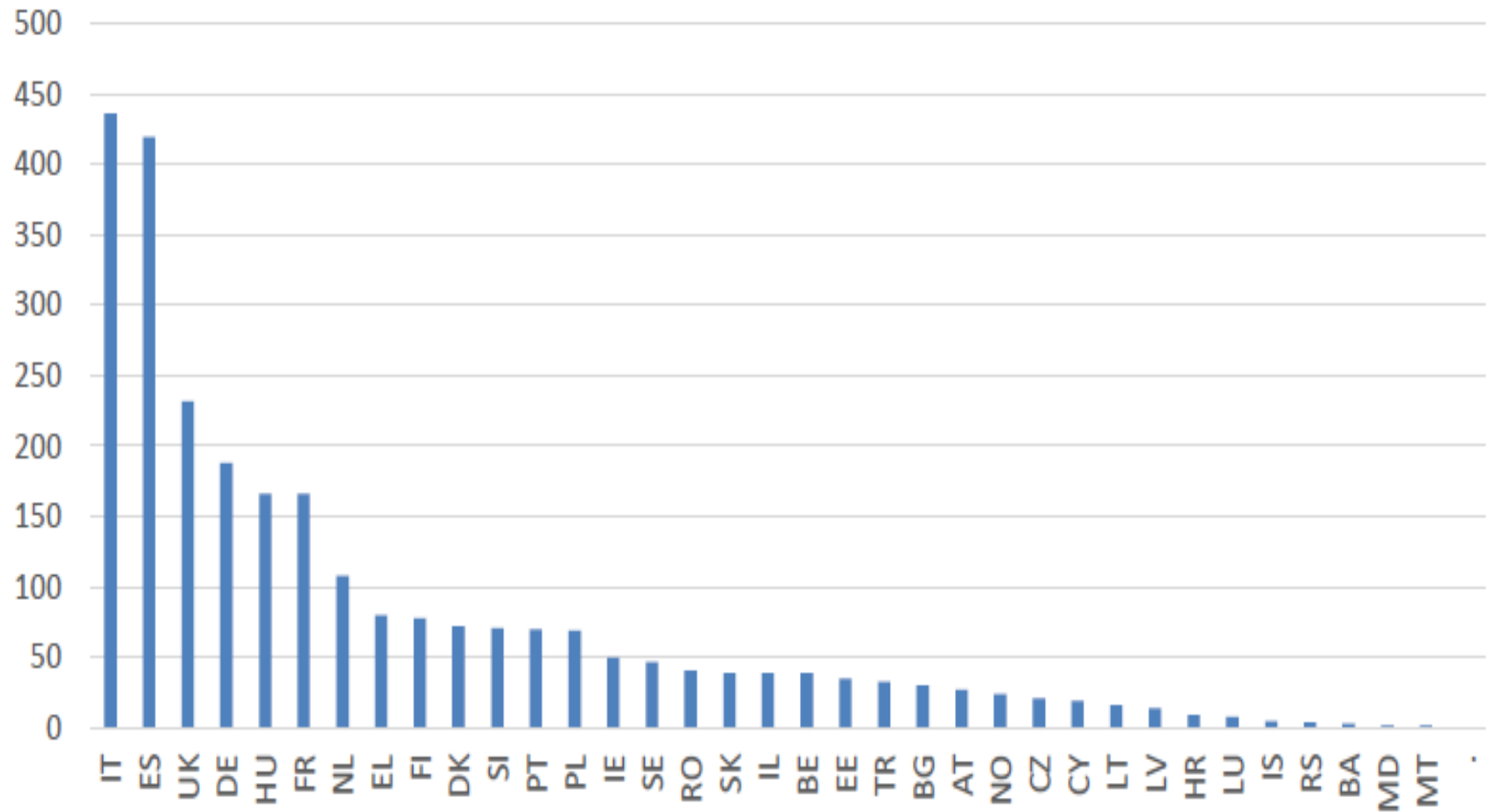
Turnover of applying SMEs



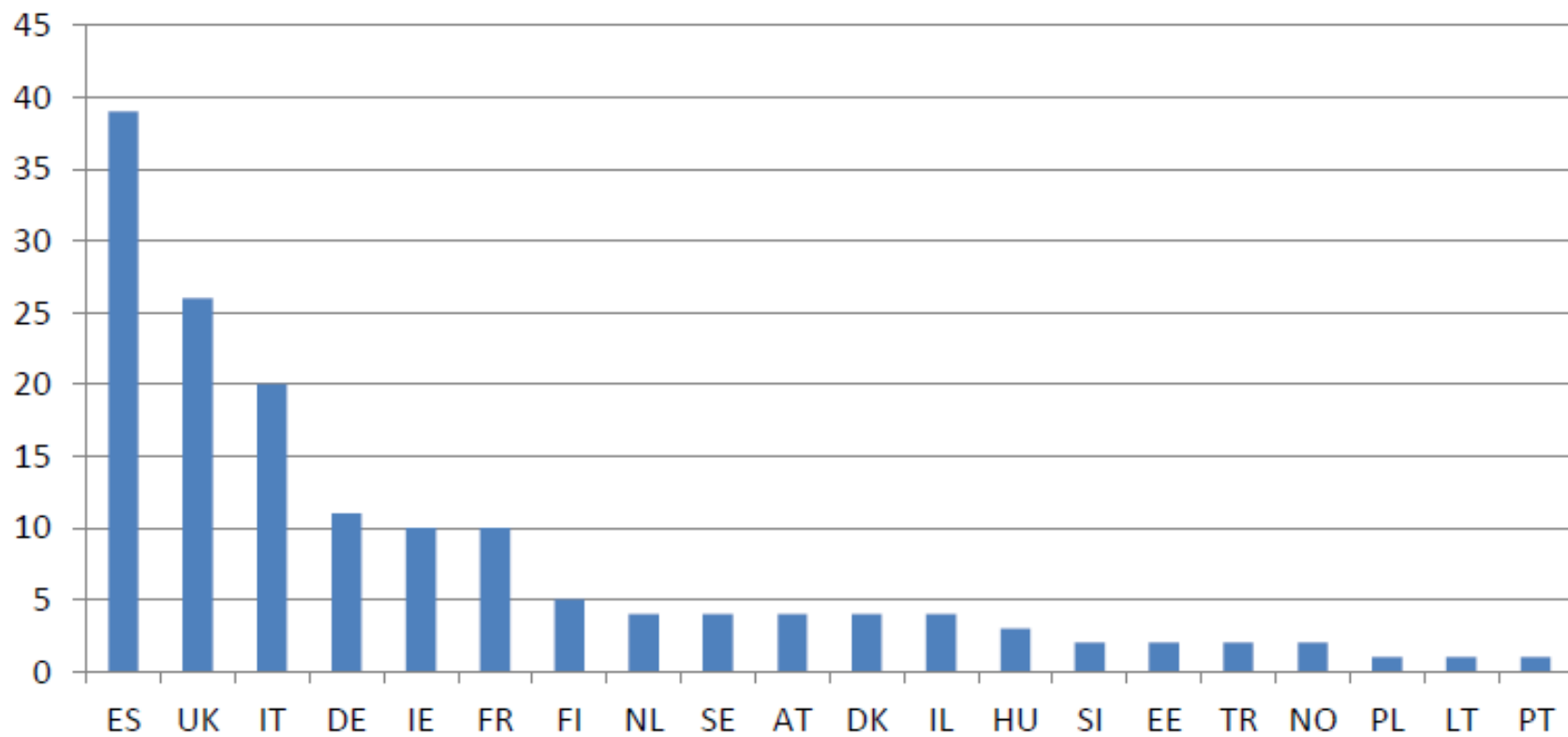
Turnover of funded SMEs



Country distribution of submitted proposals

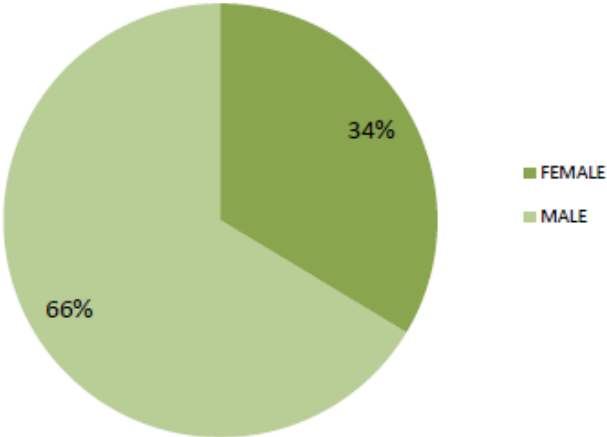


Country distribution of funded projects

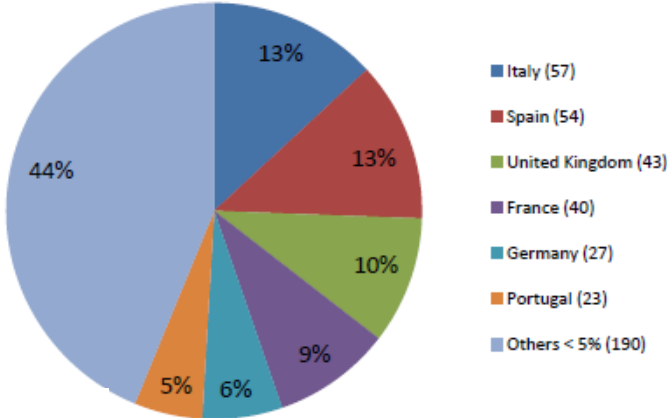


Statistical information on evaluators

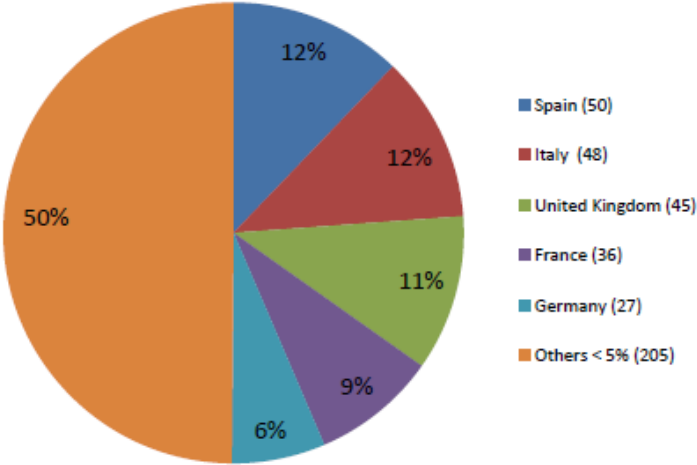
Gender



Nationality



Country of residence



Excellence

Soundness of the concept, including trans-disciplinary considerations, where relevant;
Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art (e.g. ground-breaking objectives, novel concepts and approaches)

Impact

Enhancing innovation capacity and integration of new knowledge;
Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global markets; and, where relevant, by delivering such innovations to the markets;
Any other environmental and socially important impacts (not already covered above);
Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant

Quality and efficiency of the implementation

Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources;
Complementarity of the participants within the consortium (when relevant);
Appropriateness of the management structures

The threshold for individual criteria was 4. The overall threshold, applying to the sum of the three individual scores, was 13. The final consensus score of a proposal was the median of the individual scores of the individual evaluators. To determine the ranking, the score for the criterion 'impact' was given a weight of 1.5.

Organisation Type	Total
Non-research Commercial sector including SMEs	112
Consultancy firms	112
Private sector	49
Private / Commercial Research Centres	30
Private Non-profit Research Centres	21
Non-research Public Sector	19
Non-research Private Non-profit	19
Higher Education Establishments	18
Public Research Centres	16
Non-research International Organisations (Association of States)	7
International Research Centres / International Research Centres	2
International Research Centres / International Research Centres / Non-governmental organisation (NGO)	1
Non-research International Organisations (Association of States) / Non-research International Organisations (Association of States) / Non-governmental organisation (NGO)	1
Joint Research Centre	1
Non-research International Organisations (Association of States) / Non-governmental organisation (NGO)	1
Joint Research Centre / Cultural sector	1
Non-profit sector	1
Grand Total	411

6.2. First analysis of reasons for unsuccessful projects were identified

- Too much focused on the project and not enough on the business opportunity;
- Not convincing when describing the company and why it is this company succeeding and not a competitor;
- Not providing enough information on competing solutions (which shows that the company has not made a good market analysis);
- A too low level of innovation, no breakthrough, only very incremental improvements or planning to develop a product for which already many solutions exist on the market;
- Proposing just an idea without any concept for its commercialisation;
- Just trying one's luck (a small number of applications were so sloppy that it can only be assumed that the applicants were thinking that the SME Instrument is a lottery!).